SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bu	ırden
hours per response:	0.5

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Rosebrough Walter M Jr				uer Name and Ticker E <mark>RIS plc</mark> [STE		ling Sy	/mbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) C/O 70 SIR JO	(First) DHN ROGERS	(Middle) ON'S QUAY		te of Earliest Transac 0/2019	tion (Mo	onth/D	ay/Year)		Officer (give title below) Presider	Other below nt & CEO	(specify)			
(Street)			4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
DUBLIN	L2	2							X	Form filed by One	e Reporting Pers	on		
(City)	(State)	(Zip)								Form filed by Mor Person	e than One Rep	orting		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date		2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any (Month/Day/Year)	3.4. Securities Acquired (ATransactionDisposed Of (D) (Instr. 3,Code (Instr.5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
				Code V Amount (A) or (D) Pr					Price	Transaction(s) (Instr. 3 and 4)		(1150.4)		

						(D)		(Instr. 3 and 4)			
Ordinary Shares								60,623 ⁽¹⁾	D		
Ordinary Shares	11/20/2019		G	v	6,000	D	\$0.00	0	Ι	See Footnote Below. ⁽²⁾	
Table II - Derivative Securities Acquired Disposed of or Beneficially Owned											

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (1 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Acquired (A) or Disposed of (C) (Instr. 3, 4 and 5) Date Exercisable and Expiration Date (Month/Day/Year)		7. Title Amoun Securit Underly Derivat Securit and 4)	t of ies /ing	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v					Title	Amount or Number of Shares		

Explanation of Responses:

1. These 60,623 ordinary shares are held by the reporting person as trustee of a revocable trust established for his benefit. 32,360 of these ordinary shares are restricted. The restrictions on these ordinary shares lapse as follows: 4,681 on June 1, 2020; 4,000 on June 1, 2020; 3,097 on June 1, 2020; 2,597 on June 1, 2020; 4,000 on June 1, 2021; 3,097 on June 1, 2021; 3,097 on May 31, 2022; 2,597 on May 31, 2022; and 2,597 on May 31, 2023.

2. These ordinary shares were held by the reporting person's spouse as trustee of a revocable trust established for her benefit.

Remarks:

<u>/s/ Ronald E. Snyder,</u>

Authorized Representative under Power of Attorney 11/21/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.