SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Section 30(h) of the Investment Company Act of 1940						
1. Name and Addr	1 0		2. Issuer Name and Ticker or Trading Symbol <u>STERIS plc</u> [STE]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
KOSECOFF JACQUELINE B		<u>IINE B</u>		X Director 10% Owner					
(Last) (First) (Middle) C/O 70 SIR JOHN ROGERSON'S QUAY		(<i>)</i>	3. Date of Earliest Transaction (Month/Day/Year) 05/21/2021	Officer (give title Other (specify below) below)					
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)	L2	2		X Form filed by One Reporting Person					
		۷		Form filed by More than One Reporting Person					
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Ordinary Shares	05/21/2021		М		3,121	A	\$31.61	3,121	D	
Ordinary Shares	05/21/2021		S		3,121	D	\$192.59 ⁽¹⁾	0	D	
Ordinary Shares								26,639	Ι	See Footnote Below ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned convertible securities lea nuts calls warrants ontions

			(e.g.,	puis,	cans	, wan	ants	s, options,	converti	Die Sect	inues)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$31.61	05/21/2021		М		3,121		(3)	08/03/2021	Ordinary Shares	3,121	\$0.00	0	D	

Explanation of Responses:

1. Price reflects a weighted average sale price for multiple transactions ranging from \$192.92 to \$193.025 per share, inclusive. The Reporting Person undertakes to provide, upon request by the SEC Staff, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

2. These ordinary shares are held in a revocable family trust of the Reporting Person and the Reporting Person's spouse, as Co-Trustees.

3. This option to purchase 3,121 STERIS ordinary shares, which is fully vested, was received in conjunction with the Redomiciliation of the entity organized under the laws of the U.K. and formerly named STERIS plc ("Old STERIS") in exchange for an option to purchase 3,121 Old STERIS ordinary shares for \$31.61 per share, subject to the same terms and conditions as the original Old STERIS stock option, except as otherwise required by law.

Remarks:

/s/ Ronald E. Snyder, Authorized Representative under Power of Attorney

05/24/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).